



**Application Form**  
July 2022

[www.vitanovahedgefund.com](http://www.vitanovahedgefund.com)

# Individual

## Individual Registration Details

### First Applicant

Surname

Title

Forenames

Residential Address

Correspondence Address (if different to above)

E-mail Address

Telephone (home)

Telephone (mobile)

Country of Birth

Country of Residence

Nationality

Date of Birth

Tax Identification Number

/ /

Occupation and Nature of Employment (if retired, please state former occupation)

Source of Funds

### Second Applicant

Surname

Title

Forenames

Residential Address

Correspondence Address (if different to above)

E-mail Address

Telephone (home)

Telephone (mobile)

Country of Birth

Country of Residence

Nationality

Date of Birth

Tax Identification Number

/ /

Occupation and Nature of Employment (if retired, please state former occupation)

Source of Funds

# Individual

## Individual Identification Requirements

To comply with the administrator's anti money laundering code, the following information is required:

- 1. Individual Person**  
*(note that if the investment is in more than 1 name then the below information will be required for each person named. Further, in such a case the signing authority requirements should be outlined);*
- 1.1** Original or notarised (or certified by your bank, attorney, accountant or approved independent financial adviser) copy of Passport/Drivers Licence or other form of identity.  
Note that the document must include a photograph, sample of the person's signature and their date of birth.  
Note that the original notarised / certified copies must be delivered, and copies of same cannot be accepted;
- 1.2** An original or notarised confirmation of address in the applicant's name (or certified as above).  
Note that the utility bill must be issued within the last 3 months (mobile telephone bills are not acceptable);
- 1.3** Bank account details as per the telegraphic transfer form providing confirmation of payment. If deemed necessary, a contact name and written confirmation giving the Administrator authority to request a reference may also be required.  
Note that the bank account must, in normal circumstances, be in the name of the registered Shareholder;
- 1.4** Source of wealth details (description of the economic activity which has generated the net worth). If income from employment satisfies this requirement please provide written confirmation of employment status, certified by your employer, or written details of current employment, if self employed. If wealth from another source, independent confirmation will be required;
- 1.5** If resident in any country in the following list, a notarised (or certified as in 1.1) copy of your Tax Identification Number ("TIN") or equivalent.  
Countries:  
All EU member states, BVI, Montserrat, Aruba, the Netherland Antilles and the Crown Dependencies (Jersey, Guernsey and the Isle of Man);
- 1.6** If you are not resident in a country on the list above, but your passport indicates that you are, you must provide a tax certification (or confirmation from another independent source) confirming that you are resident for tax purposes in your country of residence.

## Application

I/We apply to invest in Vita Nova Hedge Fund as indicated below, having received and read a copy of the Offering Document of the Vita Nova Hedge Fund.

Class of Shares	Currency	Amount to be Invested in Figures	Amount to be Invested in Words
USD Arbitrage Class	\$	<input type="text"/>	<input type="text"/>
EUR Arbitrage Class	€	<input type="text"/>	<input type="text"/>
CHF Arbitrage Class	CHF	<input type="text"/>	<input type="text"/>
GBP Arbitrage Class	£	<input type="text"/>	<input type="text"/>
USD Distribution Class	\$	<input type="text"/>	<input type="text"/>
EUR Distribution Class	€	<input type="text"/>	<input type="text"/>
CHF Distribution Class	CHF	<input type="text"/>	<input type="text"/>
GBP Distribution Class	£	<input type="text"/>	<input type="text"/>

# Individual

## Declaration of US or United Kingdom Persons for Tax Purposes

If the investment is joint then both applicants must complete the tax sections. Please tick either (a) or (b) or (c) or (d) and complete as appropriate.

- 1<sup>st</sup> Appl. 2<sup>nd</sup> Appl.
- (a) ☐ ☐ I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number ("US TIN") is as follows:
- First Applicant  Second Applicant
- (b) ☐ ☐ I confirm that I was born in the US (or a US territory) but am no longer a US citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.
- (c) ☐ ☐ I can confirm that I am a United Kingdom Person and my United Kingdom tax identifying number is as follows:
- First Applicant  Second Applicant
- (d) ☐ ☐ I can confirm that I am not registered in the US or United Kingdom for tax purposes.

Complete Declaration of Tax Residency section if you have non-US tax residency.

## Declaration of Tax Residency (other than US)

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and tax reference number applicable in each country).

### First Applicant

Country/Countries of Tax Residency

Tax Reference Number Type

Tax Reference Number

### Second Applicant

Country/Countries of Tax Residency

Tax Reference Number Type

Tax Reference Number

## Individual Declaration and Undertakings

I/We declare that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete.

Where legally obliged to do so, I/we hereby consent to the recipient sharing this information with the relevant tax information authorities.

I/We acknowledge that it is an offence to make a Self-Certification that is false in a material particular.

I/We subscribe to Vita Nova Hedge Fund having read and agreed to the Terms and Conditions as detailed within the application:

### First Applicant

Name

Date

Signature

### Second Applicant

Name

Date

Signature

## Corporate Registration Details

Name of Corporate Entity

Country of Incorporation

Registered Address

Business Address (if different from above)

Correspondence Address (if different from above)

Telephone

Fax

E-mail Address

## Directors

Full Name

Full Name

Address

Address

Country of Residence

Tax Identification Number

Country of Residence

Tax Identification Number

## Corporate Identification Requirements

To comply with the administrator's anti money laundering code, the following information is required:

### 2. Corporate Entity

*(note this section should be completed by Corporate Entities, Partnerships, Trusts and other Unincorporated Businesses);*

- 2.1 Original or notarised (or certified, either as in 1.1 or by the relevant company registrar) copy of Certificate of Incorporation and any Change of Name Certificate. If a copy can be retrieved from an independent central registry website, details giving access to the appropriate section of the website can be supplied ("**on-line verified**");
- 2.2 Original or notarised (or certified, either as in 1.1 or by the relevant company registrar) Memorandum and Articles of Association or statute of the corporate entity;
- 2.3 Certificate of Good Standing from relevant company registrar, or equivalent document, may be required. An on-line verified version may be acceptable;
- 2.4 Most recent audited accounts or, if not available, written details on the nature of business conducted, signed by at least 2 directors;
- 2.5 Bank account details as per the telegraphic transfer form providing confirmation of payment. If deemed necessary, a contact name and written confirmation giving the Administrator authority to request a reference may also be required;  
Note that the bank account must, in normal circumstances, be in the name of the registered Shareholder;
- 2.6 A certificate of incumbency or other list certified by the company secretary or a director of the corporate entity, giving the names, dates of birth and addresses of ALL directors, plus personal information on at least 2 directors as detailed in company registration details section (as per 1.1 and 1.2).  
Note that, if the director is another corporate entity we will require the same information as above on its directors;
- 2.7 A list of all beneficial owners of 10%+ of the share capital, and the beneficial owners of 10%+ of the monies invested (if different), plus personal information on the beneficial owners of monies invested (as per 1.1, 1.2 and 1.4);
- 2.8 A list of all authorised signatories, certified by a director or company secretary, samples of all signatures, details of any signing protocol, and personal information on all authorised signatories (as per 1.1 and 1.2);
- 2.9 If other legal entities own more than 25% each of the entity which is the Shareholder, the information as per 2.2, 2.3 and 2.7 must be given for these entities;
- 2.10 Board Resolution authorising the subscription of shares.

### 3. Partnerships or Other Unincorporated Businesses

- 3.1 Original or notarised (or certified as in 1.1) or on-line verified copy of partnership agreement, or other agreement establishing the unincorporated business;
- 3.2 A list of all directors, or partners, certified by a director or partner of the partnership or other unincorporated business, giving the dates of birth and addresses for all, and personal information on at least 2 (as per 1.1 and 1.2);
- 3.3 A list of all beneficial owners of 10%+ of the partnership and of 10%+ of the monies invested (if different), plus personal information on the beneficial owners of monies invested (as per 1.1, 1.2 and 1.4);
- 3.4 A list of all authorised signatories, certified by a director or partner of the partnership or other unincorporated business, including samples of signatures, details of any signing protocol, and personal information on all authorised signatories (as per 1.1 and 1.2);
- 3.5 Certificate of Good Standing from relevant company registrar, or equivalent document, may be required. An on-line verified version may be acceptable;
- 3.6 Most recent audited accounts or, if not available, written details on the nature of business conducted, signed by the Partners and/or at least 2 directors;
- 3.7 Bank account details as per the telegraphic transfer form providing confirmation of payment. If deemed necessary, a contact name and written confirmation giving the Administrator authority to request a reference may also be required.  
Note that the bank account must, in normal circumstances, be in the name of the registered Shareholder;
- 3.8 If other legal entities own more than 25% each of the entity which is the Shareholder, the information as per 2.2, 2.3 and 2.7 must be given for these entities;
- 3.9 Board Resolution authorising the subscription of shares.

### 4. Trusts

- 4.1 Original or notarised (or certified as in 1.1) copy of Trust Deed;
- 4.2 Original or notarised (or certified as in 1.1) copy of Letter of Wishes may also be required;
- 4.3 Details and confirmation as per 1.3;
- 4.4 List of trustees, plus personal information (as per 1.1 and 1.2). If the trustee is a legal entity, the Administrator may request certain information on the legal entity;
- 4.5 Details of settlor of the Trust (as per 1.1, 1.2 and 1.4);
- 4.6 List and details of all beneficial owners of the trust, if different from the settlor (as per 1.1 and 1.2). If the beneficial owners are legal entities, the Administrator may require certain information on the legal entity;
- 4.7 List of all authorised signatories, certified by the trustee or other appropriate party, including samples of signatures, details of any signing protocol, and personal information on all authorised signatories (as per 1.1 and 1.2);
- 4.8 Board Resolution authorising the subscription of shares.

## Application

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USD Distribution Class	\$	<input type="text"/>	<input type="text"/>
EUR Distribution Class	€	<input type="text"/>	<input type="text"/>
CHF Distribution Class	CHF	<input type="text"/>	<input type="text"/>
GBP Distribution Class	£	<input type="text"/>	<input type="text"/>

## Declaration of US or United Kingdom Persons for Tax Purposes

Please tick and complete as appropriate.

The entity is a Specified US Person and the entity's US TIN is as follows

(a) ☐

The entity is a US Person that is not a Specified US Person. Indicate exemption<sup>1</sup>

(b) ☐

The entity is a Specified United Kingdom Person and the entity's United Kingdom identifying tax number is as follows

(c) ☐

The entity is a United Kingdom Person that is not a Specified United Kingdom Person. Indicate exemption<sup>2</sup>

(d) ☐

(e) ☐ The entity is not registered in the US or United Kingdom for tax purposes

<sup>1</sup> Under the US IGA and in the US Internal Revenue Code, Specified US Person does not include: An organisation exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37); The US or any of its agencies or instrumentalities; A state, the District of Columbia, a possession of the US, or any of their political subdivisions, or instrumentalities; A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i); A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i); A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the US or any state; A real estate investment trust; A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940; A common trust fund as defined in section 584(a); A bank as defined in section 581; A broker; A trust exempt from tax under section 664 or described in section 4947; or A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

<sup>2</sup> Under the United Kingdom IGA, Specified United Kingdom Person does not include: A corporation the stock of which is regularly traded on one or more established securities markets or a member of the same EAG; A depository institution; A broker or dealer in securities, commodities, or derivative financial instruments that is registered as such under the laws of the United Kingdom; or a Non-Reportable United Kingdom Entity as defined in Annex II paragraph V.

### Declaration of Tax Residency (other than US or United Kingdom)

Please indicate the Entity's place of tax residence (if resident in more than one country please detail all countries and associated tax reference number type and tax reference number).

Country/Countries of Tax Residency


Tax Reference Number Type


Tax Reference Number


### Entity FATCA Classification

Complete section below and then proceed to Corporate Declaration and Undertakings.

1. If the entity is a Registered Foreign Financial Institution ("FFI"), please tick one of the below categories, and provide the entity's FATCA Global Intermediary Identification Number ("GIIN").

- |                              |  |                              |                       |
|------------------------------|--|------------------------------|-----------------------|
| (a) <input type="checkbox"/> | Cayman Islands or IGA Partner Jurisdiction Financial Institution | (d) <input type="checkbox"/> | Reporting Model 1 FFI |
| (b) <input type="checkbox"/> | Registered Deemed Compliant Foreign Financial Institution        | (e) <input type="checkbox"/> | Reporting Model 2 FFI |
| (c) <input type="checkbox"/> | Participating Foreign Financial Institution                      |                              |                       |

1.1 Please provide your GIIN:

1.2 If you are a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

- (a) ☐ The Entity is a Model 1 Financial Institution and has not yet obtained a GIIN but intends to do so, if required
- (b) ☐ The Entity is a Sponsored Financial Institution (sponsored by another entity that has registered as a Sponsoring Entity) and:
- (i) ☐ Has no US reportable accounts, is a Sponsored Financial Institution in a Model 1 IGA jurisdiction and therefore not required to obtain a Sponsored Entity GIIN. Please provide the Sponsoring Entity's name and GIIN
- Sponsoring Entity's Name
- Sponsoring Entity's GIIN
- (ii) ☐ Its Sponsor has obtained a Sponsored Entity GIIN on its behalf. Please provide the Sponsoring Entity's name and GIIN
- Sponsoring Entity's Name
- Sponsoring Entity's GIIN
- Sponsored Entity's GIIN



## Entity FATCA Classification (Continued)

**1.2** If you are a Financial Institution but unable to provide a GIIN, please tick one of the below reasons: (Continued)

(c) ☐ The Entity is a Trustee Documented Trust. Please provide your Trustee's name and GIIN

Trustee's Name

Trustee's GIIN

(d) ☐ The Entity is a Certified Deemed Compliant, or otherwise Non-Reporting, Foreign Financial Institution (including a Foreign Financial Institution deemed compliant under Annex II of an IGA, except for a Trustee Documented Trust or Sponsored Financial Institution). Indicate exemption

(e) ☐ The Entity is an Excepted Foreign Financial Institution. Indicate exemption

(f) ☐ The Entity is a Non-Participating Foreign Financial Institution

(g) ☐ The Entity is a US Financial Institution

**1.3** If you are not a Foreign Financial Institution, please confirm the Entity's FATCA status below

(a) ☐ The Entity is an Exempt Beneficial Owner. Indicate status

(b) ☐ The Entity is an Active Non-Financial Foreign Entity (including an Excepted NFFE)

(c) ☐ The Entity is a Passive Non-Financial Foreign Entity (please complete next table providing details of any Controlling Persons<sup>3</sup>)

(d) ☐ The Entity is a Direct Reporting NFFE.<sup>4</sup> Please provide the Entity's GIIN

Direct Reporting NFFE's GIIN

(e) ☐ The Entity is a Sponsored Direct Reporting NFFE.<sup>5</sup> Please provide the Sponsoring Entity's name and GIIN. Please provide the Sponsoring Entity's name and GIIN

Sponsoring Entity's Name

Sponsoring Entity's GIIN

Sponsored Entity's GIIN

<sup>3</sup> Means the natural persons who exercise control over an Entity. For companies and similar legal persons, it depends on the ownership structure of the company and will include any person owning 25% or more of the company (or legal person). For trusts and other similar legal arrangements, it will include the settlor, the trustee(s), the protector (if any), the beneficiaries, and any other natural person exercising ultimate effective control over the trust.

<sup>4</sup> See US Treasury FATCA Regulations, 26 CFR 1.1472-1(c)(3)

<sup>5</sup> See US Treasury FATCA Regulations, 26 CFR 1.1472-1(c)(5)

## Controlling Persons

Please complete this section for each Controlling Person who is a natural person

Full Name

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

Full Residence Address

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

Mailing Address

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

Date of Birth

Place of Birth

Details of Controlling Person's Beneficial Interest\*

1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residence

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

Tax Reference Type and Number

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

\*Natural persons that are Controlling Persons should also complete the Individual Self-Certification

Corporate Declaration and Undertakings

I/We declare (as an authorised signatory of the corporation) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete. I/We undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs, which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I/we hereby consent to the recipient sharing this information with the relevant tax information authorities.

I/We acknowledge that it is an offence to make a Self-Certification that is false in a material particular.

First Authorised Signatory

Name

Date

/

/

Signature

Second Authorised Signatory

Name

Date

/

/

Signature

# Related Party

## Related Party Registration Form

**This form should be completed if requiring any account information to be sent by e-mail to either an account holder or a related party.**

**Step 1:** Complete this Application Form. This form must list the names, address, contact numbers and e-mail address for each party to whom the account holder authorises account information to be sent by e-mail (multiple copies of the registration form may be submitted to accommodate additional users). An authorised signatory of the account must sign in 'Section 3 – Authorisation' of this Related Party Registration Form, where indicated, to permit the Administrator to provide the requested service.

**Step 2:** Make a copy of the document for your records and send the signed original to the address below:

**Taurus Administration Services Limited**  
167-169 Great Portland Street  
London W1W 5PF  
United Kingdom

Once the original document has been received and approved by the Administrator, future correspondence will be distributed by e-mail to the account holder and each of the related parties.

In the event of any of the related parties ceasing to be authorised to receive such information, you must notify the Administrator immediately so that the Administrator can disable the relevant related party from receiving information related to the account, otherwise the Administrator will rely on this application form as a representation that the related party continues to be authorised to receive such information.

Should you have any questions on completing this form, please contact the Administrator by telephone: +44 20 3314 0308 or e-mail: [dealing@taurusadmin.com](mailto:dealing@taurusadmin.com)

### Section 1: Account Holder Details

Fund Name

Holder ID (leave blank if new)

Account Holder Name

Address

Telephone

Fax

E-mail Address

# Related Party

## Related Party Registration Form (continued)

### Section 2: Related Party Details

This section should be completed with the details of any additional parties to whom account information is to be sent by e-mail.

#### Related Party

Related Party Name

Mailing Address

Telephone

Fax

E-mail Address

#### Related Party

Related Party Name

Mailing Address

Telephone

Fax

E-mail Address

### Section 3: Authorisation

I/We hereby request that access to receive information, related to all accounts in which I am/we are invested under administration by Taurus Administration Services Limited, by e-mail or fax be provided to the related parties listed in this Application Form.

I/We hereby agree to abide by the terms and conditions attached hereto and forming part of this application form:

#### First Authorised Signatory

Name

Date

Signature

#### Second Authorised Signatory

Name

Date

Signature

The information you supply on this form will be used for the purpose of providing you with the service you have requested.

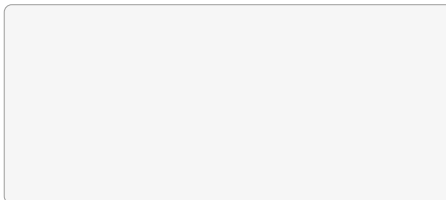
## Applicants Checklist (please tick boxes)

- ☐ Fully completed and signed original Application
- ☐ All necessary identification documents in certified form where required
- ☐ Confirmation of payment

Please send completed application forms to: Agent Stamp

**Taurus Administration Services Limited**  
167-169 Great Portland Street  
London W1W 5PF  
United Kingdom

Fax: +44 20 3048 3038  
E-mail: [dealing@taurusadmin.com](mailto:dealing@taurusadmin.com)



## Payment Instructions

It is not possible to accept payment in the form of cheque or bankers order. All payments should be sent by electronic transfer using the routing instructions detailed below. Please note that the entire routing instruction details must be quoted on the transfer instruction.

*Kindly ensure all charges / expenses incurred in the transfer are charged to the applicants account.*

### FOR SUBSCRIPTIONS IN:

Currency	Account Number	SWIFT/BIC
Euros	LI69 0880 6023 7108 4200 1	SERBLI22XXX
Great British Pounds	LI85 0880 6023 7108 4200 4	SERBLI22XXX
Swiss Franc	LI58 0880 6023 7108 4200 5	SERBLI22XXX
<b>Bank</b>	<b>Bank Address</b>	<b>Account Name</b>
Kaiser Partner PrivatBank AG	Herrengasse 23, PO Box 725, 9490 Vaduz, Liechtenstein	Vita Nova Hedge Fund

### FOR SUBSCRIPTIONS IN US DOLLARS:

#### Banking Information

<b>Intermediary Institution</b> Credit Suisse (Switzerland) Ltd.	<b>Bank Address</b> Uetlibergstrasse 231, 8045 Zürich, Switzerland	<b>SWIFT</b> CRESCHZZ80A
<b>Account with Institution</b> Kaiser Partner Privatbank AG	<b>Bank Address</b> Herrengasse 23, PO Box 725, 9490 Vaduz, Liechtenstein	<b>SWIFT</b> SERBLI22XXX
<b>For Settlement Through Credit Suisse</b> Bank of New York Mellon	<b>ABA/Routing Transaction Number</b> 021000018	<b>SWIFT</b> IRVTUS3N

#### Remittance Information for Subscriptions in US Dollars

##### Banking Information

<b>Beneficiary Name</b> Vita Nova Hedge Fund	<b>Beneficiary Account Number/IBAN</b> LI42 0880 6023 7108 4200 2
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## Terms and Conditions

- 1 In consideration of the issue of the shares (the **'Shares'**) in Vita Nova Hedge Fund (the **'Fund'**) to me/us (the **'Subscriber'**), the Subscriber declares, undertakes, represents and warrants to the Fund as follows:
  - 1.1 it has read, fully understood and accepted the risks associated with an investment in the Fund;
  - 1.2 to notify the Fund immediately if I/we become aware that any of the information provided in this Application Form by me/us is no longer accurate and we/I agree to immediately either sell or to tender to the Fund for redemption a sufficient number of shares to allow the application to be made;
  - 1.3 that the Shares have not been acquired directly or indirectly in violation of any applicable law;
  - 1.4 it has the legal capacity and authority and is permitted by applicable law to execute and deliver this Application Form;
  - 1.5 it is acquiring the Shares for its own account, or on behalf of a third party or third parties for investment and not with a view to resale, transfer or other disposition in whole or in part;
  - 1.6 it has such knowledge and experience in financial and business matters that it is capable of evaluating the merits and risks of its acquisition of the Shares;
  - 1.7 it has received a copy of, read and understood the Offering Document, has read the "Risk Factors" section of the Offering Document, and understands that there are substantial risks involved in an investment in the Fund;
  - 1.8 it has had the opportunity to review the Fund's Memorandum of Association and Articles of Association and to ask questions and receive answers concerning the Fund and the terms and conditions of this offering from authorised representatives of the Fund and to obtain such additional information as it considers necessary to appropriately evaluate an investment in the Fund;
  - 1.9 its acquisition of Shares is based solely upon the Offering Document and its own analysis of the benefits to such an investment in the Fund and the Subscriber is and will be able to bear the economic risk of its investment in the Shares;
  - 1.10 it has obtained and complied with all legal and tax advice, registrations, declarations or filings with, or consents, licenses, approvals or authorisations of any legislative body, governmental department or other governmental authority, necessary or appropriate in connection with its investment in the Fund;
  - 1.11 the person signing this Application Form on behalf of the Subscriber has full power and authority to do so;
  - 1.12 it understands that its subscription cannot be withdrawn from the Fund except by way of redemption of the Shares in accordance with the terms outlined in the Offering Document, that a portion of its investment may be invested in securities that are illiquid, which may restrict and delay its redemption rights and that it has no right to demand distribution from the Fund prior to the Fund's termination other than by redemption of Shares;
  - 1.13 it understands that if any of the Subscriber's representations, warranties, agreements or certifications contained in this Application Form are untrue the Directors of the Fund in their discretion may require redemption of all or part of the Shares; and,
  - 1.14 it irrevocably acknowledges and agrees when entering into the Application Form to be subject to bank fees charged by the Subscriber's and the Funds bank.
- 2 The Subscriber certifies that it is not now, and for as long as it owns the Shares, it will not be a US Person as defined in the Offering Document nor a custodian, nominee or trustee of such a person. The Subscriber further certifies that it is not acquiring the Shares for and will not hold the Shares on behalf of or transfer Shares to any person or entity that is a US Person as described. The Subscriber further certifies that it was not in the US at any time any Shares were offered to the Subscriber or at the time the Subscriber executed this Application Form.
- 3 The Subscriber acknowledges and agrees that the Shares may not be sold, transferred or distributed to or on behalf of any person who is a US Person as defined in the Offering Document and that the Shares may not otherwise be transferred without reference to those restrictions laid out in the Offering Document, nor without the prior approval of the Directors, at their absolute discretion.
- 4 The Subscriber agrees that it will promptly notify the Fund at any time when it becomes a US Person as described in the Offering Document and the Subscriber agrees that in such event the Fund shall repurchase or require the Subscriber to redeem or sell the Shares to a person designated by the Fund at a price as calculated by the Administrator as at the date of the repurchase, redemption or sale or as at the date of any unauthorised transfer giving rise to such repurchase or sale.
- 5 Where the Subscriber is an individual, it agrees to produce a copy or copies of the relevant passport or passports together with any other documentation of identity providing detailed verification of the Subscriber's identity as requested by the Fund in order that it might comply with legislation for the prevention of money laundering from time to time in force. The Subscriber acknowledges that the Fund reserves the right to request any further information which it considers to be in anyway necessary to this process of verification.
- 6 Where the Subscriber is a corporation, trust or partnership, it agrees to produce a certified copy or copies of the certificate of incorporation (and any change of name), Memorandum and Articles of Association (or other document evidencing the existence of the legal entity), the register of directors or an excerpt from the trade register held at the relevant chamber of commerce and the signatory card verifying the authority of officers to sign on behalf of the corporate entity and any other relevant documentation as requested by the Fund.
- 7 The Subscriber acknowledges that the Fund reserves the right to reject in its absolute discretion this and any other subscription for Shares in whole or in part, in any order, at any time, notwithstanding prior receipt by the Subscriber of notice of acceptance of the subscription. If the Shares are oversubscribed, the Fund will determine in its sole discretion which subscriptions shall be accepted.
- 8 If this subscription is rejected or if the sale of the Shares is not completed for any reason (in which event this subscription shall be deemed to be rejected), the Fund shall as soon as practicable return any funds transferred by the Subscriber to their originating account (without interest), along with this Application Form and any other documents delivered by the Subscriber.
- 9 This Application Form and the rights, powers and duties set out in it shall bind and inure to the benefit of the heirs, executors, administrators, legal representatives, successors and assigns of the parties to it.
- 10 This Application Form represents the entire Application Form of the parties in respect of the subscription for Shares and may not be changed or terminated orally.
- 11 No waiver by any party of any breach of any term of this Application Form shall be construed as a waiver of any subsequent breach of that term or any other term of the same or of a different nature.
- 12 If any legal action or any arbitration or other proceeding is brought for the enforcement of this Application Form or because of an alleged dispute, breach, default, or misrepresentation in connection with any of the provisions of this Application Form, the successful or prevailing party or parties shall be entitled to recover reasonable attorneys' fees and other costs incurred in that action or proceeding, in addition to any other relief to which they may be entitled.
- 13 The Subscriber agrees when entering into the Application Form to be bound by the laws of the Cayman Islands and in addition to the non-exclusive jurisdiction of the courts of the Cayman Islands therein subject to which laws this Application Form shall be governed and interpreted by and construed in accordance with laws of the Cayman Islands.
- 14 The Subscriber irrevocably authorises the Fund and/or the Administrator to disclose, at any time, any information held by the Fund or Administrator in relation to the Applicant or his holding to the Manager or any affiliate of the Administrator or Manager.
- 15 The Subscriber understands that a misrepresentation or breach of any warranty or agreement made by the Subscriber could subject the Fund to significant damages and expenses. The Subscriber agrees to indemnify the Fund from and against any loss, liability, damage, cost or expense (including legal fees and expenses in the defence or settlement of any demands, claims, or lawsuits) actually and reasonably incurred arising from the Subscriber's misrepresentation or breach of any warranty or agreement in this Application Form.
- 16 The Subscriber acknowledges that the Fund is entitled to act upon email and facsimile instructions from or purported to be from the Subscriber and that all such instructions, where accepted by the Fund, will be final and binding upon the Subscriber. The Subscriber agrees to indemnify the Fund against any and all claims, demands, liabilities, costs, charges, damages and expenses that the Fund may incur by reason of any act or failure to act on the part of the Fund with regard to all email and facsimile instructions so provided by the Subscriber.
- 17 The Subscriber acknowledges that the Subscriber is aware that personal information given on this Application Form or otherwise in connection with an application to subscribe for Shares and details of Subscriber's shareholding may be disclosed to the Manager and any other companies affiliated to the Manager for the purpose of developing and processing the business relationship with the Shareholders.
- 18 The personal data in relation to the Subscriber is required to enable the Fund to fulfill the services required by the Subscriber, and in particular the services required under this Application Form, and to comply with its legal and regulatory obligations.
- 19 The representations, warranties, agreements and indemnification obligations of the Subscriber contained in this Application Form shall survive the execution of this Application Form and the purchase of the Shares.
- 20 The Subscriber irrevocably hereby agrees and undertakes not to present a Petition for the winding up of the Fund (including a winding-up Petition seeking any relief pursuant to Section 95 (3) of the Companies Law (2016 Revision)) and that in the event any Petition is purportedly presented contrary to this undertaking, such a Petition shall be void and of no effect and the Subscriber irrevocably agrees and undertakes to not oppose any application for the dismissal of the Petition pursuant to Section 95 (2) of the Companies Law (2016 Revision).
- 21 Any personal data given to the Fund will be registered with both the Fund and the Manager for the purpose of and not limited to providing financial services and investment management. It may also be used for internal procedures, anti money laundering checks, communication purposes and to enable the Fund and/or Manager to keep its promoters and advisers up to date with relevant products and service developments.

The Fund and/or the Manager guarantees that it shall take full responsibility for the aforementioned files and informs you that you may exercise your rights to access, rectify, cancel or oppose the data held by writing to the following email address: [info@managingpartnersgroup.com](mailto:info@managingpartnersgroup.com).
- 22 The Cayman Islands has signed two intergovernmental agreements to improve international tax compliance and the exchange of information - one with the United States and one with the United Kingdom (the **"US IGA"** and the **"UK IGA"**, respectively). The Cayman Islands has also signed, along with over 60 other countries, a multilateral competent authority agreement to implement the OECD Standard for Automatic Exchange of Financial Account Information – Common Reporting Standard (the **"CRS"** and together with the US IGA and the UK IGA, **"AEOI"**). Cayman Islands regulations were issued on 4th July 2014 to give effect to the US IGA and the UK IGA, and on 16th October 2015 to give effect to the CRS (collectively, the **"AEOI Regulations"**). In order for the Fund to comply with the AEOI Regulations, the Subscriber acknowledges and agrees that the Fund shall be entitled to release and/or disclose to the Cayman Islands Tax Information Authority or equivalent authority and any other foreign government body as required by the AEOI Regulations any information in its or its agents' or delegates' possession regarding a Subscriber including without limitation, financial information concerning the Subscriber's investment in the Fund and any information relating to any shareholders, principals, partners, beneficial owners (direct or indirect) or controlling persons (direct or indirect) of such Subscriber. The Fund may also authorise any third party agent, including but not limited to the Manager to release and or disclose such information on behalf of the Fund. The Subscriber further acknowledges and agrees to take any action that the Fund requires for the Fund to comply with the AEOI Regulations including due diligence, reporting withholding, or other obligations under the AEOI Regulations.
- 23 The Subscriber acknowledges and agrees that in the event a Subscriber does not provide the requested information and/or documentation and/or has not itself complied with the applicable requirements, whether or not that actually leads to compliance failures by the Fund, or a risk of the Fund or its shareholders/investors being subject to withholding tax under the relevant legislative or intergovernmental regime, the Fund reserves the right to take any action and/or pursue all remedies at its disposal, including, without limitation, the immediate compulsory redemption or withdrawal of the Subscriber concerned.



[info@managingpartnersgroup.com](mailto:info@managingpartnersgroup.com) | [www.managingpartnersgroup.com](http://www.managingpartnersgroup.com)